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Date of Deposit: January 16, 2008

Brinks Hofer Case 10022/111-1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
VICKI ST. JOHN	)	
Serial No.: 09/387,195	)	Examiner: Armstrong, Angela A.
Filing Date: August 31, 1999	)	Group Art Unit No.: 2626
For A VOICE RECOGNITION SYSTEM	)	
FOR NAVIGATING ON THE	)	
INTERNET	)	

**TERMINAL DISCLAIMER**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Your petitioner, Accenture LLP, a corporation organized and existing under the laws of the State of Illinois, having a present place of business at 50 W. San Fernando Street, Suite 1200, San Jose, California 95113 represents that it is the present assignee of United States Patent Application Serial No. 09/387,195, filed August 31, 1999, for A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A VOICE RECOGNITION SYSTEM FOR NAVIGATING ON THE INTERNET UTILIZING AUDIBLE INFORMATION (currently entitled A VOICE RECOGNITION SYSTEM FOR NAVIGATING ON THE INTERNET). Your petitioner also represents that it is the present assignee of United States Patent Application Serial No. 09/387,415,

filed August 31, 1999, for SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A BORDER CROSSING SYSTEM ALLOWS SELECTIVE PASSAGE BASED ON VOICE ANALYSIS (currently entitled VOICE AUTHENTICATION SYSTEM AND METHOD FOR REGULATING BORDER CROSSING) and which was later granted on October 8, 2002 as U.S. Patent No. 6,463,415.

The assignment of United States Patent Application Serial No. 09/387,195 from the inventor to your petitioner, under the name of Andersen Consulting, LLP, occurred on November 16, 1999. The assignment was filed on November 17, 1999 to be recorded at the United States Patent and Trademark Office. The assignment to your petitioner was recorded on November 24, 1999 at the United States Patent and Trademark Office and is located at reel 010390, frames 0977-0979. The assignment recorded on November 24, 1999 assigns all rights in the invention disclosed in United States Patent Application Serial No. 09/387,195. A copy of the above-mentioned assignment is provided herewith. A name change of Andersen Consulting, LLP to Accenture LLP was recorded on April 12, 2001 at the United States Patent and Trademark Office and is located at reel 011657, frames 0101-0104. A copy of the above-mentioned recordal of name change document is provided herewith.

The assignment of United States Patent Application Serial No. 09/387,415 from the inventor to your petitioner, under the name of Andersen Consulting, LLP, occurred on November 16, 1999. The assignment was filed on November 17, 1999 to be recorded at the United States Patent and Trademark Office. The assignment to your petitioner was recorded on December 13, 1999 at the United States Patent and Trademark Office and is located at reel 010428, frames 0316-0318. The assignment recorded on December 13, 1999 assigns all rights in the invention disclosed

in United States Patent Application Serial No. 09/387,415. A copy of the above-mentioned assignment is provided herewith. A name change of Andersen Consulting, LLP to Accenture LLP was recorded on April 12, 2001 at the United States Patent and Trademark Office and is located at reel 011657, frames 0101-0104. A copy of the above-mentioned recordal of name change document is provided herewith as discussed in the previous paragraph.

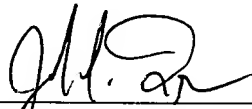
Your petitioner hereby declares that no other assignments of United States Patent Applications Serial Nos. 09/387,195 and 09/387,415 and U.S. Patent No. 6,463,415. have occurred from November 16, 1999 to the filing of this terminal disclaimer. Accordingly, your petitioner hereby declares that it is the present assignee of U.S. Patent Application Serial No. 09/387,195 and U.S. Patent No. 6,463,415.

Your petitioner hereby disclaims the terminal part of any patent granted on U.S. Patent Application Serial No. 09/387,195, which would extend beyond the expiration date of commonly assigned United States Patent No. 6,463,415, and hereby agrees that any patent so granted on U.S. Patent Application Serial No. 09/387,195 shall be enforceable only for and during such period that the legal title to said patent shall be the same as the legal title to U.S. Patent No. 6,463,415, this agreement to run with any patent granted on U.S. Patent Application Serial No. 09/387,195 and to be binding upon the grantee, its successors or assigns.

Your petitioner hereby declares that evidentiary documents, including the enclosed assignment documents, have been reviewed in certifying that, to the best of the assignee's knowledge and belief, title is in the assignee seeking to take action.

Your petitioner further declares that all statements made herein of its own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'J.C. Freeman', is written over a horizontal line.

John C. Freeman  
Attorney for Assignee – Accenture LLP

Date: January 16, 2008

MRD 11.17.99

**ASSIGNMENT RECORDATION COVER SHEET  
-PATENTS ONLY-**

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies)

a) Vicki St. John

2. Name and address of receiving party(ies):

a) Name: Andersen Consulting, LLP  
Address: 1661 Page Mill Road  
Palo Alto, California 94306



3. Nature of conveyance



Assignment



Security Agreement



Other \_\_\_\_\_



Merger



Change of Name



License Agreement

Execution Date: November 16, 1999

4. Application Number(s) or Patent Number(s): 09/387,195

The title of the application is:

**SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A VOICE RECOGNITION SYSTEM  
FOR NAVIGATING ON THE INTERNET UTILIZING AUDIBLE INFORMATION**

5. Please send all correspondence concerning this (these) documents to:

HICKMAN STEPHENS COLEMAN & HUGHES, LLP  
P.O. Box 52037  
Palo Alto, CA 94303-0746  
Tel. No.: (408) 558-9950  
Fax No.: (408) 558-9960

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00



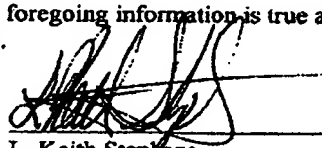
Enclosed



Authorized to be charged to Deposit Account No. 50-0797  
(Order No. AND1P096/AC990053)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: November 17, 1999

  
L. Keith Stephens  
Registration No. 32,632

Attorney Docket No. AND1P096/AC990053

(revised 01/90)

**PATENT  
REEL: 010390 FRAME: 0977**

**ASSIGNMENT OF PATENT APPLICATION**  
(Not Accompanying Application)

Whereas I/we the undersigned inventor(s) have invented certain new and useful improvements as set forth in the patent application entitled:

**SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR VOICE RECOGNITION  
SYSTEM FOR NAVIGATING ON THE INTERNET UTILIZING AUDIBLE INFORMATION**

for which I (we) have executed an application for a United States Letters Patent which was filed in the U.S. Patent and Trademark Office on August 31, 1999, and which bears the Application No. 09/387,195.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/we the undersigned inventor(s) hereby:

- 1) Sell(s), assign(s) and transfer(s) to Andersen Consulting, LLP, a Delaware corporation having a place of business at 1661 Page Mill Road, Palo Alto, California 94304, (hereinafter referred to as "ASSIGNEE"), the entire right title and interest in any and all improvements and inventions disclosed in, application(s) based upon, and Patent(s) (including foreign patents) granted upon the information which is disclosed in the above referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any division(s), continuation(s), substitutes(s) or reissue(s) thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative and applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon the inventor(s), as well as the inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that I/we have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

Signed on the date(s) indicated beside my (our) signature(s).

1) Signature: Vicki St John Date: 11/16/99  
Typed Name: Vicki St. John

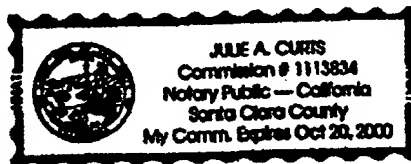
County of Santa Clara )  
 ) ss.  
State of CALIFORNIA )

On this 16th day of November, in the year 1999, before me,  
Julie A. Curtis, Notary, personally appeared Vicki St. John, personally known to me (or  
proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within  
instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and  
that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted,  
executed the instrument.

WITNESS my hand and official seal.

(SEAL)

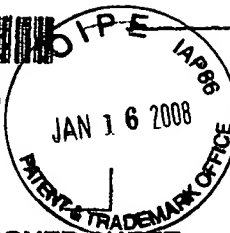
Signature



04-12-2001

FORM PTO-1619A  
Expires 05/30/99  
OMB 0551-0027

101660915



U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

3.29 01

## RECORDATION FORM COVER SHEET

## PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

## Submission Type

☒ New  
☐ Resubmission (Non-Recordation)  
Document ID# \_\_\_\_\_

☐ Correction of PTO Error  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

☐ Corrective Document  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

## Conveyance Type

☐ Assignment ☐ Security Agreement

☐ License ☒ Change of Name

☐ Merger

☐ Other \_\_\_\_\_

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File

☐ Secret File

## Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) ANDERSEN CONSULTING LLP

Execution Date  
Month Day Year  
01 01 01

Name (line 2) \_\_\_\_\_

## Second Party

Name (line 1) \_\_\_\_\_

Execution Date  
Month Day Year

Name (line 2) \_\_\_\_\_

09387195

## Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) ACCENTURE LLP

Name (line 2) \_\_\_\_\_

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Address (line 1) 1661 Page Mill Road

Address (line 2) \_\_\_\_\_

Address (line 3) Palo Alto

California

94304

City

State/Country

Zip Code

## Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name \_\_\_\_\_

Address (line 1) \_\_\_\_\_

Address (line 2) \_\_\_\_\_

Address (line 3) \_\_\_\_\_

Address (line 4) \_\_\_\_\_

## FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0551-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0551-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT

REEL: 011657 FRAME: 0101



**Correspondent Name and Address**

Area Code and Telephone Number (312) 321-4219

Name Joel W. Benson

Address (line 1) Brinks Hofer Gilson & Lione

Address (line 2) NBC Tower - Suite 3600

Address (line 3) 455 N. Cityfront Plaza Drive

Address (line 4) Chicago, Illinois 60611-5599

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

#

**Application Number(s) or Patent Number(s)**

☒ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**

09/387,195 09/520,543 09/387,166

09/521,235 09/520,586 09/387,037

09/520,579 09/387,745 09/387,416

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

PCT PCT PCT

only if a U.S. Application Number has not been assigned.

PCT PCT PCT

**Number of Properties**

Enter the total number of properties involved.

# 14

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 560.00

Method of Payment:  
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 23-1925

Authorization to charge additional fees:

Yes ☒ No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

David W. Okey

Name of Person Signing

*David W. Okey*  
Signature

March 27, 2001

Date

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1)  Execution Date  
Month Day Year

Name (line 2)  Execution Date  
Month Day Year

Name (line 1)  Execution Date  
Month Day Year

Name (line 2)  Execution Date  
Month Day Year

Name (line 1)  Execution Date  
Month Day Year

Name (line 2)  Execution Date  
Month Day Year

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)  ☐ If document to be recorded  
is an assignment and the  
receiving party is not  
domiciled in the United  
States, an appointment  
of a domestic representative  
is attached. (Designation  
must be a separate  
document from  
Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)  City  State/Country  Zip Code

Name (line 1)  ☐ If document to be recorded  
is an assignment and the  
receiving party is not  
domiciled in the United  
States, an appointment of a  
domestic representative is  
attached. (Designation must  
be a separate document from  
Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)  City  State/Country  Zip Code

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/387,415

09/520,942

09/387,621

09/521,471

09/388,027



*To all to whom these Presents Shall Come, Greeting:*

*I, Jesse White, Secretary of State of the State of Illinois, do hereby certify that*

ACCENTURE LLP,  
HAVING REGISTERED IN THE STATE OF ILLINOIS ON SEPTEMBER 1, 1994.  
(REGISTERED LIMITED LIABILITY PARTNERSHIP NAME CHANGED FROM  
ANDERSEN CONSULTING LLP ON JANUARY 01, 2001), APPEARS TO HAVE  
COMPLIED WITH ALL THE PROVISIONS OF THE UNIFORM PARTNERSHIP  
ACT OF THIS STATE RELATING TO THE FILING OF THE APPLICATION  
FOR REGISTRATION OF DOMESTIC LIMITED LIABILITY PARTNERSHIP AND  
PAYMENT, AND IS REGISTERED TO TRANSACT BUSINESS IN THE STATE OF  
ILLINOIS.\*\*\*\*\*



*In Testimony Whereof, I, hereto set  
my hand and cause to be affixed the Great Seal of  
the State of Illinois, this* 12TH  
*day of* JANUARY *A.D.* 2001.

*Jesse White*

SECRETARY OF STATE

12-13-1999



101221962

11.17.99

ASSIGNMENT

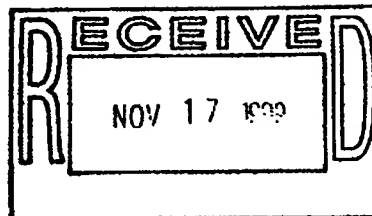
COVER SHEET  
-PATENTS ONLY-

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies)  
a) Vicki St. John

2. Name and address of receiving party(ies):  
a) Name: Andersen Consulting, LLP  
Address: 1661 Page Mill Road  
Palo Alto, California 94306



3. Nature of conveyance
- |                                     |                    |                          |                   |
|-------------------------------------|--------------------|--------------------------|-------------------|
| <input checked="" type="checkbox"/> | Assignment         | <input type="checkbox"/> | Merger            |
| <input type="checkbox"/>            | Security Agreement | <input type="checkbox"/> | Change of Name    |
| <input type="checkbox"/>            | Other _____        | <input type="checkbox"/> | License Agreement |

Execution Date: November 16, 1999

4. Application Number(s) or Patent Number(s): 09/387,415

The title of the application is:

**SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A BORDER CROSSING SYSTEM  
THAT ALLOWS SELECTIVE PASSAGE BASED ON VOICE ANALYSIS**

5. Please send all correspondence concerning this (these) documents to:

HICKMAN STEPHENS COLEMAN & HUGHES, LLP  
P.O. Box 52037  
Palo Alto, CA 94303-0746  
Tel. No.: (408) 558-9950  
Fax No.: (408) 558-9960

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☐ Enclosed  
☒ Authorized to be charged to Deposit Account No. 50-0797  
(Order No. AND1P116/AC990075)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: November 17, 1999

L. Keith Stephens  
Registration No. 32/632

12/13/1999 DNGUYEN 00000102 500797 09387415

01 FC:581 40.00 CH

Attorney Docket No. AND1P116/AC990075

(revised 11/96)

**PATENT**  
**REEL: 010428 FRAME: 0316**

# ASSIGNMENT OF PATENT APPLICATION

(Not Accompanying Application)

Whereas I/we the undersigned inventor(s) have invented certain new and useful improvements as set forth in the patent application entitled:

## SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A BORDER CROSSING SYSTEM THAT ALLOWS SELECTIVE PASSAGE BASED ON VOICE ANALYSIS

for which I (we) have executed an application for a United States Letters Patent which was filed in the U.S. Patent and Trademark Office on August 31, 1999, and which bears the Application No. 09/387,415.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/we the undersigned inventor(s) hereby:

- 1) Sell(s), assign(s) and transfer(s) to Andersen Consulting, LLP, a Delaware corporation having a place of business at 1661 Page Mill Road, Palo Alto, California 94304, (hereinafter referred to as "ASSIGNEE"), the entire right title and interest in any and all improvements and inventions disclosed in, application(s) based upon, and Patent(s) (including foreign patents) granted upon the information which is disclosed in the above referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any division(s), continuation(s), substitutes(s) or reissue(s) thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative and applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon the inventor(s), as well as the inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that I/we have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

Signed on the date(s) indicated beside my (our) signature(s).

1) Signature: *Vicki St. John* Date: 11/16/99  
Typed Name: Vicki St. John

County of Santa Clara ,)  
State of CALIFORNIA ) ss.

On this 16th day of November, in the year 1999, before me, Julie A. Curtis, Notary, personally appeared Vicki St. John, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

(SEAL)

Signature *Julie A. Curtis*

